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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: T. TSUJIMURA et al.

SERIAL NO.: 09/682,002

ART UNIT: 2871

FILED: 07/06/2001

EXAMINER: Tai V. DUONG

TITLE: ACTIVE MATRIX SUBSTRATE AND MANUFACTURING METHOD  
THEREOF

ATTORNEY DOCKET NO.: JP920000098US1

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT AND  
CETRIFICATION UNDER 37 C.F.R. 1.97(e) (1)

Sir:

Pursuant to the duty of disclosure, the attention of the Examiner is directed to the documents cited on the attached form PTO-1449 (substitute). These four documents were cited in Applicants' corresponding application in Japan in an office action issued on August 19, 2003. Copies of these documents, including abstracts in English, as well as a copy of the Japanese office action are enclosed herewith. The latter indicates, by reference to specific numbers, the claims and the documents of relevance. It is respectfully requested that the Examiner considered these documents, and make them of record.

I hereby certify that each item of information contained in this information disclosure statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.

It is believed that no fee is required for the filing of this paper. However, if any fee is required please charge deposit account no. 50-0510.

Respectfully submitted,

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Date

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**INFORMATION DISCLOSURE  
CITATION FORM FOR  
PATENT APPLICATION  
(FORM PTO-1449)  
(Substitute)**

Docket No.: JP920000098US1

Serial No.: 09/682,002

Applicant(s): Tsujimura et al.

Filing Date: 07/06/01

Group: 2871

**U.S. PATENTS**

| Initials | Patent Number | Issue Date | Name | Class | Sub-class | Filing date |
|----------|---------------|------------|------|-------|-----------|-------------|
|          |               |            |      |       |           |             |

**FOREIGN PATENT DOCUMENTS**

| Initials | Document Number | Date    | Country | Name             | Translation?<br>Yes/No/n/a |
|----------|-----------------|---------|---------|------------------|----------------------------|
|          | 08-201849       | 08/1996 | Japan   | Masatoshi et al. | Yes                        |
|          | 05-113580       | 05/1993 | Japan   | Noritoshi et al  | Yes                        |
|          | 61-161764       | 07/1986 | Japan   | Yoshiharu        | Yes                        |
|          | 61-188967       | 08/1986 | Japan   | Tadanori et al.  | Yes                        |

**OTHER DOCUMENTS (Title, Author, Date, Pages, Etc., if known)**

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Examiner's Signature:

Date Considered:

Initial if reference was considered, whether or not citation is in conformance with MPEP. Mark through citation if not considered.  
Include a copy of this citation form with your next correspondence to the Applicant(s).